

Methodology for this workshop

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Introduction

Background

- In order to propose the approach to improvement
 - (1) Practical & Pragmatic viewpoints are emphasized.
 - (2) Proposals made in this workshop need to be applicable to the real situation of each country.
 - (3) To achieve the goal, we have to consider what should be done from tomorrow?
 - (4) Only ideal is not good.
- Namely, the next step for realizing your proposals is quite important even if you can propose the approach to improvement.

Items ~ 5 steps

Step-by-step approach will be adopted.

- 1 Grasping issues
- 2 Analysis of the causes of the issues grasped in the step 1
- 3 Proposals for approach to improvement and processes/procedures for those proposals
- 4 Evaluation of the proposals considered in the step 3
- 5 Preparation of the “Action Plan”

Grasping Issues

- Finished in the 1st workshop in Hanoi
- It is the first step of the consideration for problem solving, so it is important.
- Issues need to be ordered by priority
- Finally, participants will select a few high-priority issues for drafting action plans.

Analysis

- 2nd step
- Accurate and specific analysis can lead to the good proposals.

Proposals of solutions and process

- This step is the highlight of this workshop.
- Proposals should be considered to remove the causes analyzed in the former step.
- When proposing some measures as proposals, the processes/procedures for the measures must be recognized and analyzed.

Evaluation of the proposals (1)

- Evaluation from the viewpoint of effectiveness and feasibility is very important.
- Viewpoints for evaluation
 - (1) Effectiveness of the proposal against the cause
 - (2) Sustainability (organizational capacity, finance, environment and others)
 - (3) Fairness
 - (4) Basin wide viewpoint
 - (5) Consensus building
 - (6) Others

Evaluation of the proposals (2)

- After finalizing the evaluation...
You can find obstacles, difficulties or problems against the realization of your proposals. Thus, in the next, you will consider the ways for overcoming the obstacles, difficulties or problems.
- The ways for overcoming can be the strategies (dealt with in the next workshop)
- The goal is to clarify the obstacles, difficulties or problems from the evaluation.

Preparation for Action Plan

- Beyond the next workshop
- The issues may be further selected...

Examples (Case study)

Example 1 ~ Establishing new legal frameworks (1)

- Suppose the proposal of establishing new legal framework,...(law, decree, or other legislature)

[Process/Procedure (Assumption)]

- (1) Preparation for the draft (law, decree...)
- (2) Consensus building among related organizations (both at national and local level) and other stakeholders
- (3) Cabinet approval or others (e.g., approval of the apex body)
- (4) Decision of Assembly,Parliament, Diet

And Others

Example 1 ~ Establishing new legal frameworks (2)

[Viewpoint of Evaluation (Assumption)]

- Will people obey the new framework? (effectiveness)
- Will the new framework secure the fairness among people, accountability or transparency...? (fairness, accountability, transparency..)
- Will consensus building among stakeholders be possible? (consensus building)
- If the new framework requires additional financial expenditure, is it possible to shoulder? (financial sustainability)

And others

Example 1 ~ Establishing new legal frameworks (3)

- Suppose additionally that the biggest obstacle against the newly establishment of legal framework is the consensus building affairs.
- What is the concrete and specific methods for building consensus among relevant organizations and other stakeholders?

The answer may be the strategies for realizing the proposals.

(The details will be treated in the next workshop.)

Example 2 ~ developing water resources plan (1)

- Suppose the proposal of developing new water resources plan

[Processes/Procedures (Assumption)]

- (1) Research on the situation of water resources and collect the necessary data
- (2) Preparation for the draft plan
- (3) Consensus building among related organizations (at national or local level) and other stakeholders
- (4) Authorization by relevant agency

And Others

Example 2 ~ developing water resources plan (2)

[Viewpoint of Evaluation (Assumption)]

- Organizational capacity for research is adequate, or accurate water resources data is adequate? (Organizational capacity)
 - Fairness in the basin (Fairness, Basin wide viewpoint)
 - Consensus building, or coordination among the relevant agencies will be possible? (Consensus building)
 - If the plan is completed, do you have any schemes for implementing the plan? (Organizational & financial sustainability and others)
 - Financial resources is adequate? (Financial sustainability)
 - Environmental consideration? (Environmental sustainability)
- And Others

Example 2 ~ developing water resources plan (3)

- Suppose additionally, that the biggest obstacle is the lack of accurate water resources data
- How to obtain the accurate water resources data?

The answer may be the strategies for realizing the proposals.

(The details will be treated in the next workshop.)

Example 2 ~ developing water resources plan (4)

- In some cases, you may follow this way additionally. Data collection issue itself is a big issue. Therefore, you may follow again the above, proposals, processes/procedures, and evaluations for the data collection issue.
- Proposal: Development of the observation station
 Integrate the data scattered among multiple agencies, and others
- Processes/procedures
- Evaluations: Financial sustainability?
 Consensus Building?

Example 3 ~ Establishing new organization (1)

- Suppose the proposal of establishing organizational framework for water resources management (River Basin Organizations etc.,)

[Processes/Procedures (Assumption)]

- (1) To decide what the mandate for the new organization is (In some cases, mandates must be transferred from the current organizations to the new established organization.
 - (2) Staffs employment (including transfer from other government organizations)
 - (3) Establishment of financial background
 - (4) New legal frameworks for establishing the organization (Organic laws)
- And Others**

Example 3 ~ Establishing new organization (2)

[Viewpoint of Evaluation (Assumption)]

- Financial resources is adequate for appropriate water management? (Financial sustainability)
- Human resources are adequate, especially from the viewpoint of technical capacity? (Organizational capacity)
- Mandate and responsibility are clarified? (transparency, accountability and others)
- New organization can represent/reflect the stakeholders' will/opinion in the basin? (Effectiveness, consensus building, basin wide viewpoint)

And Others

Example 3 ~ Establishing new organization (3)

- Suppose additionally that a financial affair is the biggest obstacle against the establishing new organization.
- How to secure the budget? (Though I of course know that it is quite difficult to answer.)

The answer may be the strategies for realizing the proposals.

(The details will be treated in the next workshop.)

Conclusion

- Step-by-step approach will be emphasized.
- What will you do in order to realize your proposal from tomorrow?

END

Thank you for your attention.